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Gp/2123

DOCKETING: ABHS-0151/B000240

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Lavelle Freeman, et al.

Confirmation No.: 6677

Serial No.: 09/750,574

Group Art Unit: 2123

Filing Date: December 28, 2000

Examiner: Not Yet Assigned

**For: FUNCTIONAL REQUIREMENT-BASED KNOWLEDGE-ENGINEERED
PROCESS FOR SUBSTATION DESIGN**

**EXPRESS MAIL LABEL NO: EL 926694922 US
DATE OF DEPOSIT: January 8, 2003**

EL 926694922US

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

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Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;

or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

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EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:

☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .

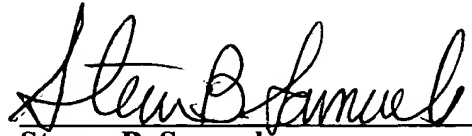
☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Enclosed is a copy of the PCT International Search Report dated **December 9, 2002**, which indicates the references to be relevant.

There are no listed references which are not in the English language.

Date: January 8, 2003


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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. ABHS-0151/B000240	Serial No. 09/750,574
		Applicant Lavelle Freeman, et al.	
		Filing Date December 28, 2000	Group 2123
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	10	Atanackovic, D. et al., "An Integrated Knowledge-Based Model for Power-System Planning", <i>IEEE Expert</i> , 1997, 12(4), 65-71 XP-000720771	
	11	El-Arroudi, K. et al., "A Methodology for Power System Protection Design Based on An Intelligent System Approach", <i>Proceedings of the 1999 IEEE Canadian Conference on Electrical and Computer Engineering</i> , May 9-12, 1999, 2, 1164-1169, XP 010359878	
	12	Mahdy, Y.B. et al., "Learning Design Rules and Concepts from Examples-A Case Study to Design an Electric Power Substation", <i>IEEE, Proceedings of the Industry Applications Society Annual Meeting</i> , 1991, 2, 1206-1215, XP-010056060	
EXAMINER		DATE CONSIDERED	